



**D Y PATIL
INTERNATIONAL
UNIVERSITY**
AKURDI PUNE

Think | Thrive | Transform

Doctoral Program Regulations

**D Y PATIL INTERNATIONAL UNIVERSITY,
AKURDI, PUNE
July 2026**



From the Desk of Vice Chancellor

With immense pride, I present the PhD Regulations of D Y Patil International University, Akurdi, Pune. These regulations embody our vision to nurture an environment of academic excellence, original thinking, and impactful research. At DYPIU, we regard doctoral studies not merely as an academic requirement but as a transformative journey that shapes scholars into leaders, innovators, and change-makers.

Framed in line with the guidelines of the University Grants Commission (UGC) and global best practices, these regulations ensure clarity, transparency, and rigour in every stage of the doctoral programme—from admission and coursework to research supervision, evaluation, and the final award of the degree. They reflect our commitment to providing scholars with strong mentorship, world-class infrastructure, and interdisciplinary and industry-driven research opportunities.

I urge all our research scholars to view these regulations as a guiding framework that will help them uphold the highest standards of academic integrity and contribute meaningfully to knowledge creation for the betterment of society.

Warm regards,

Prof (Dr) Manish Bhalla

Vice-Chancellor

D Y Patil International University

Akurdi, Pune



From the Desk of Dean R & D

It gives me immense pleasure to present the doctoral (PhD) regulations for D Y Patil International University (DYPIU), Akurdi, Pune. We implement these regulations to promote excellence in research for the research scholars. These guidelines aim to foster an environment that encourages academic excellence, innovation, and impactful research outcomes.

We aim to develop a dynamic research environment that promotes critical thinking, scientific investigation, ethical research practices, and innovative approaches for solving problems. We encourage research scholars to engage actively with academic faculties, industrial professionals, and research collaborators to contribute meaningfully to their respective fields and to create impact on society.

I extend my sincere acknowledgement to all faculty members, researchers, and administrators who contributed to the development and implementation of these regulations.

I believe that these regulations will serve as a valuable framework to our research scholars and supervisors in maintaining the highest standards of academic excellence and research integrity. I extend my sincere best wishes to all research scholars embarking on this challenging yet rewarding journey of scientific discovery and scholarly contribution.

Best regards,

Prof (Dr) Ramendra Pati Pandey

Dy. Dean R&D

D Y Patil International University

Akurdi, Pune



Table of Contents

1. Terms and Definitions.....	1
2. Doctoral Program Regulations.....	1
Overview	1
Doctoral Committee and functions.....	1
Educational Qualifications	1
3. Categories and Eligibility.....	2
Full-time Candidates	2
Part-time candidates.....	2
4. Admission Procedure:.....	3
5. Admissions	4
6. Duration of the Program	4
7. Place of Research	5
8. Selection Process.....	5
9. Course Registration	7
10. Teaching Assistantship.....	7
11. Eligibility criteria for Research supervisor, Co-supervisor	8
12. Coursework and Credit Requirement.....	8
13. Modes of Delivery of the coursework	10
14. Course Work Examination	11
15. Selection of Research Supervisor.....	11
16. Research Proposal.....	12
17. Procedure for Monitoring the Progress of PhD Scholars.....	13
18. Pre submission synopsis	13
19. Financial Support for Registered PhD Scholars for Presenting the Research Paper in National/International Conferences.....	15
20. Thesis submission	15
21. Thesis evaluation	16
22. Thesis Examination Report	17
23. Dissertation Presentation	17
24. Repository	18
25. Disciplinary Regulations	18
26. Award of PhD Degree.....	19
27. Withdrawal of degree	19
28. Miscellaneous	19
29. Power to Modify	19
30. Leave Policy.....	19

1. Terms and Definitions

UGC – University Grants Commission

DYPIU – D Y Patil International University

CISR – Centre of Interdisciplinary Studies and Research

DC – Doctoral Committee

SDRC-School Doctoral Research Committee

TAC – Thesis Advisory Committee

TA – Teaching Assistantship

JRF – Junior Research Fellow

SRF – Senior Research Fellow

MoU – Memorandum of Understanding

ROS – Research Orientation Seminar

GATE – Graduate Aptitude Test in Engineering

CSIR – Council of Scientific and Industrial Research

NET – National Eligibility Test

SET – State Eligibility Test

DBT – Department of Biotechnology

DST – Department of Science and Technology

APC – Article Processing Charges

COE – Controller of Examinations

SCOPUS – Scientific & Technical Research Articles Database (Scopus Index)

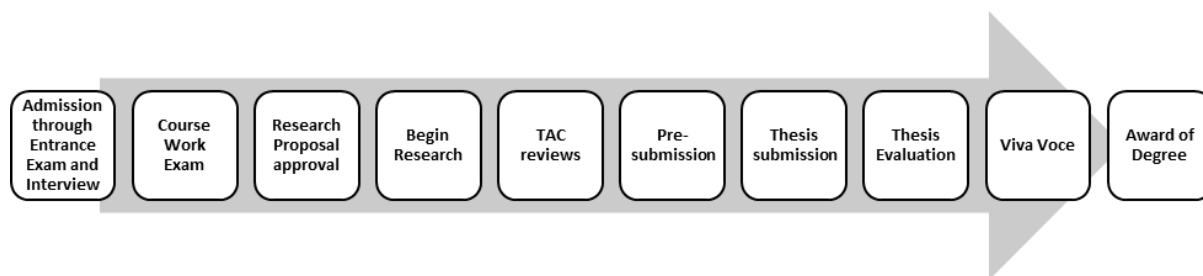
SCI – Science Citation Index

DPEP- Dissertation Presentation Examination Panel

2. Doctoral Program Regulations

Overview

D Y Patil International University, Akurdi, Pune, offers an interdisciplinary research program under the Centre of Interdisciplinary Studies and Research (CISR). Doctoral studies conducted under CISR will be managed and supervised by the Doctoral Committee (DC).



Doctoral Committee and functions

a) The Doctoral Committee will be formed by:

Table I. Members of the Doctoral Committee

i.	Vice Chancellor	Chairman
ii.	Dean of Research	Member Secretary
iii.	Director, Center of Interdisciplinary Studies and Research	Convener
iv	Four members approved by the Vice-Chancellor	Nominated Member (s)

- b) The four nominated members must belong to different disciplines and will have a tenure of 2 years as members of DC.
- c) If any member goes on extended leave, resigns, or retires from the DYPIU, the Vice-Chancellor will nominate another member in consultation with the Dean of Research.

Educational Qualifications

The candidates seeking admission to the PhD programme must satisfy the following eligibility criteria:

- a) The candidate should have a Master's degree in Engineering/Technology with a first class or a minimum 55% marks (CGPA 6.5 on a 10-point scale)

or

Master's degree (MBA/MCom/MCA/MSc/MSc (Engineering)/M.S. by Research) with a minimum 55%

or

an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognised or authorised by an authority, established or incorporated under a law in the home country or any other statutory authority in that country to assess, accredit or assuring quality and standards of educational institutions

Note: Other relevant Master's degrees approved by UGC may be considered for admission based on the current research at DYPIU.

- b) Candidates possessing a degree considered equivalent to MPhil from an Indian Institution/ Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority established or incorporated under a law in its home country or another statutory authority in that country to assess, accredit or assuring quality and standards of educational institutions, shall be eligible for admission to PhD program.
- c) For SC/ST/persons with disabilities and those who have obtained a Master's degree before 19th Sept 1991, may be given a relaxation as per UGC guidelines.
- d) Candidate seeking admission after a 4-year/8-semester degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.

3. Categories and Eligibility

Full-time Candidates

A candidate wishing to pursue a PhD degree should apply on the online application portal open throughout the year. These candidates will carry out research work full-time on campus. Full-time candidates may receive a teaching assistantship based on availability. Those candidates who do not receive a teaching assistantship have to sustain themselves on their own.

OR

Full-time candidates may seek a research assistantship from the grants of individual faculty members.

Part-time candidates

Candidates who meet the minimum qualifications may be permitted to pursue their PhD at DYPIU on a part-time basis, but they should apply via the online application portal, which is open year-round. Coursework must be taken at DYPIU in person. Part-time candidature can be approved under the following categories:

i) ***Sponsored Category***

This category includes sponsored candidates from the organisations with whom DYPIU has established an MoU. Candidates employed in recognised R&D organisations,

Research Labs in industry, employed as regular faculty in colleges and universities and desirous of pursuing a PhD may apply for admission in the PhD program.

ii) *Sister Institution Category*

This category includes candidates from the sister organisations of DYPIU. Candidates employed as regular staff in colleges and universities in the premises of D Y Patil Education Complex, Akurdi, Pune may apply for admission in PhD program at DYPIU.

iii) *External Category*

This category includes external candidates from organisations with whom DYPIU does not have an MoU. Candidates employed in recognised R&D organisations, Research Labs in industry, employed as regular faculty in colleges and universities and desirous of pursuing PhD studies may apply for admission in the PhD program.

iv) *Internal category*

This category applies to candidates who are employed as regular full-time faculty or staff members of D Y Patil International University (DYPIU), Akurdi, Pune. Faculty members who wish to pursue a PhD part-time while continuing their teaching or administrative responsibilities at DYPIU may apply under this category.

Part-time students must also spend at least 6 months on campus after registration and upon completion of coursework to earn their PhD degree. Any registered PhD student can convert a full-time PhD candidature into a part-time candidature after 2 years of registration.

AND

Part-time candidates must get a letter of approval from their employers at the time of admission so that they can spend time at the campus to fulfil their coursework.

4. Admission Procedure:

- a) Applications will be invited by open advertisement on the DYPIU website/ all leading newspapers/local announcements for all categories of candidates mentioned in section 2. Application will be accepted only if made via the DYPIU admission portal.
- b) The candidate shall apply online for a PhD admission programme on the DYPIU website as per the admission notification. Candidates must upload the statement of purpose and curriculum vitae at the time of application. The statement of purpose should include their experience, research motivation and a proposed plan for future research.
- c) Procedure each applicant must achieve a 50% score in the entrance test to qualify for the interview. This entrance test can be conducted in person or online, depending on the number of applicants.
- d) Selection of the candidate will be based upon their performance in the previous university examinations at the Bachelor's and Master's levels. Additionally, the performance in the written test and the interview will be considered with equal weightage.

- e) Exemption from the written test: Candidates qualified in GATE/CSIR-UGC/NET/SET/DBT/DST scientist / JRF may be exempted from the written test requirement. However, they will be required to appear for a personal interview.
- f) The interview shall consist of the following aspects. viz. whether:
 - i) The candidate possesses the competence for the proposed research;
 - ii) The proposed research work can be suitably undertaken at an institution.
 - iii) The proposed area of research will contribute new/additional knowledge/can solve societal problems.
- g) The list of selected candidates will be displayed on the notice board/university website; however, the final admission will be given after verifying eligibility as per the provisions of the relevant university regulations.
- h) All qualified candidates willing to pursue a PhD program at DYPIU must complete the admission process by submitting the fees before the beginning of the following semester. PhD admission process can be deferred by a maximum of one semester with special approval from the Vice-Chancellor.
- i) The University shall maintain the list of all the PhD registered students on its website year-wise. The list shall include the name of the registered candidate, topic of the research, name of his supervisor/co-supervisor, and date of enrolment /registration.

5. Admissions

1. The DC shall determine the suitability of candidates after the interviews and recommend admission in the appropriate field, after giving due consideration to the interdisciplinary fields of research, if any, and the discipline/branch of engineering in which the candidate shall be registered.
2. Such candidates shall be provisionally registered for the Ph.D. Programme with the concurrence of the Chairman-Academic Council and with due intimation to the concerned Supervisor, the candidate, and the sponsoring agency if any.

6. Duration of the Program

- a) Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
- b) A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the Higher Educational Institution concerned; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

- c) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
- d) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

7. Place of Research

The place of research in respect to Ph.D. Program will be with associated School/department in D Y Patil International University, Pune. However, in the case of sponsored candidates, in particular, their respective workplace i.e., industry/corporate/R & D organization may be permitted by the DC, for a limited time, case-on-case basis, as the extended place of research.

8. Selection Process

- 1) Candidates desirous of registering for Ph.D. Degree Programme should apply in the prescribed application form through proper channel wherever applicable before the due date as indicated in the notification issued from time to time. The details regarding the commencement of the selection process and the number of vacancies with each Supervisor /department shall be notified by the University twice a year in the month of May and November. A written entrance test for admission, Research Programme Eligibility Test (RPET) shall be conducted by the University preferably, twice in the academic year on any Saturday/Sunday in the month of June and December. A candidate once appears and qualifies the RPET by securing score above 50%, he/she need not repeat the RPET, and the same score will be considered for PhD admissions for a period of only ONE year from the publication of RPET results. Admission to all the Programmes will be through a two-stage process: Research Programme Entrance Test (RPET) and a Personal Interview (PI).
- 2) University will notify well in advance on the University's official website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language. However, the details such as number of seats for admission, domain/discipline-wise distribution of available seats, criteria for admission, the procedure for admission will be made available on official website only.
- 3) The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned

and considering the reservation policy of the Central/State Government from time to time.

- 4) The applications of the candidates shall be processed by an Admission Committee along with members of DC for the purpose of selection. The composition of such committees shall be decided to consider the number and nature of the applications received. Such committees shall screen the applications as per the eligibility norms and be responsible for the conducting RPET and interviews. The committee shall conduct interviews of eligible candidates who qualify for the test. Candidates who have valid GATE are exempted from the RPET test. They will, however, be required to appear for the personal interviews. The interview process solely be used to select candidates for PhD admissions. Candidates will be interviewed by a panel of experts and PhD guides. Candidates should obtain minimum of 50% marks in the interview to become eligible for admissions. The DC may review the above-mentioned procedure, from time to time and may announce the refined modifications accordingly.
- 5) The candidate shall also submit a research proposal, proposed research area and plan of action along with the application. Candidates will be interviewed by the duly constituted School Doctoral Research Committee (SDRC). The interviews shall also consider the aspects, viz. whether the candidate possesses the competence for the proposed research, the proposed area of research as per his/her proposal and an assessment of whether can contribute to new/additional knowledge. Based on the performance in the interviews, the successful candidates shall be shortlisted by the above respective committees.
- 6) For such short-listed candidates, the committees shall nominate Supervisors, with mutual consent of the candidate and taking into consideration the resonance of the research area of the candidate and the field of specialization of the Supervisors and forward this list to the Dean of the School through the respective HOD for placing before the DC for approval.
- 7) The University shall maintain and display an updated list of all the Ph.D. registered candidates on its website on year-wise basis. The list shall include the names of the registered candidates, his/her research area, the name of his/her Supervisor/Co-Supervisor and the date of provisional registration.

9. Course Registration

All PhD students at DYPIU are considered PhD candidates or Junior Research Fellow (JRF) until they pass their Coursework examination. Upon passing the coursework exam, the PhD candidate will be referred to as a PhD scholar or Senior Research Fellow (SRF).

- a) Every semester (Winter and Monsoon), a PhD student (candidate/scholar) has to do a course registration as a student.
- b) All PhD candidates and PhD Scholars who are keeping their registration alive should pay the prescribed fees every semester/year till their submission of the thesis.
- c) The course registration of a PhD student who has not registered in person for any one semester is liable to be cancelled by the DC.
- d) Conversion of the PhD program from full-time to part-time and vice versa can be done only after PhD registration. It will take effect only at the start of the subsequent semester, with the supervisor's permission. The decision must be made in writing to the DC.

10. Teaching Assistantship

- a) All full-time PhD students are eligible to apply for a paid Teaching Assistantship (TA) as per university norms.
- b) Any PhD student holding a grant cannot apply for TA until the grant is valid. However, the student must be available for any assistance required at the University.
- c) Faculty members must indicate their TA requirements to the Head of their Program at the beginning of any semester. The Head of the Program will advertise the TA requirements of their department. Each PhD student must apply for the TA position directly to the concerned faculty members for the course of their interest in each semester. The faculty of the concerned subject must evaluate the student before confirmation.
- d) PhD students can avail TA for not more than 10 semesters.
- e) As per UGC guidelines, PhD Students must dedicate required number of hours/weeks for Teaching Assistantship (TA).
- f) Teaching Assistantship performance will be evaluated by the respective teaching faculty after the completion of the course on the Satisfactory/Unsatisfactory scale.
- g) Teaching assistants should complete all the tasks assigned to them by the faculty of the course before the end of the semester. A TA cannot be relieved from their position until the tasks are declared to be complete by the faculty.
- h) No TA can be relieved from their responsibilities during an ongoing semester.
- i) A PhD candidate or JRF will be paid a consolidated stipend of Rs 31,000+HRA as applicable. After two years, based on the research work progress presentation, the JRF is promoted to SRF in the subsequent semester and is paid a consolidated stipend of Rs 36000 + HRA, as applicable.

11. Eligibility criteria for Research supervisor, Co-supervisor

- a) Only full-time faculty of DYPIU can be research supervisors. External supervisors are not allowed. However, a co-supervisor can be allowed from interdisciplinary areas from the DYPIU or any other organization (Academia/Research Institution/Industry) with the approval of the DC.
- b) The number of research candidates can be supervised by:
 - i. The Research supervisor, who is a Professor, is eligible to guide 8 PhD students at any given point in time.
 - ii. The Research supervisor, who is an Associate Professor, is eligible to guide 6 PhD students at any given point in time.
 - iii. The Research supervisor, who is an Assistant Professor, is eligible to guide 4 PhD students at any given point in time.
- c) Prospective supervisors should have a minimum of three years of service left at the institute.
- d) Discontinuation of faculty members as supervisor:
 - i. Any supervisor who discontinues his/her full-time faculty position at DYPIU may be permitted to continue as a co-supervisor upon approval from the Doctoral Committee in consultation with the Vice-Chancellor.
 - ii. If the PhD student has a co-supervisor, he/she will be assigned the role of the supervisor for the administrative work and the research responsibilities of the student. If no co-supervisor has been previously assigned, DC must reassign a supervisor to the student.
 - iii. If the work done for the PhD is nearing completion (more than 80%), then the Supervisor will continue to be the supervisor, and for administrative purposes, a co-supervisor will be assigned from DYPIU.

12. Coursework and Credit Requirement

- a) The minimum credits assigned to the PhD coursework have to be earned by the research candidate as per the table below:

Table II. Minimum PhD course work credit requirements

Category	Highest degree	Minimum Credit Requirements
I	B Tech	23
II	M Tech/ ME/M Pharma	17
III	Integrated MTech /MSc/ MCA/ MBA / MCom	20

Note: Minimum Coursework Duration can be of 6 months for Categories II, III and for Category I, it will be a minimum of one year.

- b) The coursework shall be treated as a prerequisite for Ph.D. preparation.
- c) Courses organised by the CISR should be under the course code SIS701 onwards
- d) The list of elective choices as mentioned in Table III, for a student must be approved by the DC/Dean of Research and each student must register for the elective courses one month prior to the beginning of the semester.
- e) To complete the Ph D degree, the candidate has to earn PhD coursework credits as mentioned in Table II.

Table III. PhD course credit distribution

Course	Category	Subject	Credits
1	Mandatory	Research Methodology and Ethics	4
2	Mandatory	Research Seminar	2
3	Mandatory	Computational Thinking	3
4	Mandatory	Statistics	2
5	Mandatory	1. Industrial Training (Report Submission and Related Assessment) – 2 Credit 2. Attending Conference /Workshop National/International)-1 Credit	3
6-8	Domain-specific Electives	Three courses (For Category I as per Table II)	3/course
6		One course (for Category II as per Table II)	3
6-7		Two courses (for Category III as per Table II)	3/course

- f) The standards, regulations, requirements and assessment methods of all the courses prescribed for the PhD course work are to be approved by the Centre of Interdisciplinary Studies and Research.
- g) The research coursework may comprise the lectures, laboratories, assignments, design work, minor projects, seminars, and self-study courses, depending on the recommendation of DC. In case the class strength of a particular elective does not exceed 6 students, the responsible faculty can choose to teach the course using approved MOOC platforms like NPTEL, Coursera, or self-study mode. The responsible faculty must evaluate the progress of the student on a regular basis to ensure proper progress in self-study mode.
- h) All PhD students must complete the coursework in the prescribed semesters. However, due to some genuine reason, if a particular student couldn't complete the coursework, then, based on DC's permission, a one-semester extension can be granted. Failing to complete the coursework within the extended course period results in cancellation of admission.

- i) All grade sheets pertaining to the coursework of all research candidates shall be issued by the Controller of Examinations (COE).
- j) Coursework results should be declared within one month of conducting the examinations. The final marks are to be submitted to the COE.
- k) Course-wise grade shall be given based on the total marks obtained by the student in the respective course/s. Grades will be given based upon the relative grading system. Every PhD student has to obtain a minimum of a C+ grade in each course and a minimum GPA of 6.00 to be eligible to continue in the program.
- l) Scale in the coursework to be eligible to continue in the programme and submit his or her thesis
- m) Level-4 or above courses taken outside of DYPIU and MOOCs can be considered for coursework credits with due approval from the Supervisor and the DC. In case the course/training/MOOC has not been evaluated by the organisers, it must be evaluated by the Centre of Interdisciplinary Studies and Research by appointment of a responsible faculty member from the University. Micro-credits taken from DYPIU can also be considered for PhD coursework credits.

13. Modes of Delivery of the coursework

Table IV: Modes of Delivery of the coursework

Feature	Full-Time Scholars	Part-Time Scholars
Delivery Mode	100% In-person on campus.	Hybrid (Residency + Online).
Attendance	Minimum 75% physical attendance.	Compulsory 3-week Residency*
Lecture Access	Face-to-face classroom sessions.	Recorded lectures & live sessions.
Examinations	In-person at the University campus.	In-person at the University campus.
Duration	One Semester, except Category I as mentioned in Table II	One semester, except Category I as mentioned in Table II

Administrative Guidelines

- Registration:** Scholars must opt for their preferred mode (Full-Time/Part-Time) at the time of admission. Switching modes requires prior approval from the Dean of Research.
- *Residency Compliance:** It is mandatory for Part-time scholars to complete the 3–4 weeks of residency coursework. Any deviation in duration of physical attendance will be at the sole desecration of Dy. Dean R&D.
- Course Content:** The syllabus remains identical for both modes to ensure parity in the quality of research training.

14. Course Work Examination

- a. The Course Work Examination will be conducted under the supervision of the Doctoral Committee (DC).
- b. No PhD candidate will be permitted to take the coursework examination unless
 - i. Tuition and other fees as prescribed are paid
 - ii. hostel and library dues up to the month are cleared
- c. It is mandatory to qualify for the Coursework Examination to continue the PhD program. The coursework can be completed within one year, and it is mandatory to pass this exam to continue the PhD. Every student must register for the coursework examination one month before the examination.
- d. DC will appoint the experts to design the coursework examination question paper.
- e. The coursework for PhD research scholars will be conducted in two biannual cycles: January - June Semester, July - December Semester
- f. Any coursework exams will be conducted at the beginning of December and May of each year.
- g. A student has to pass the coursework examination in a maximum of two attempts within two years of enrolment. If the PhD student does not qualify after 2 attempts, the student may request a third (and final) attempt to the Dean of Research. Upon reviewing the case, a special consideration for a third attempt can be made after the Vice-Chancellor's approval. Upon due approval, the student will be assigned a Faculty mentor who must follow the student's progress every week until the next scheduled Coursework examination in which the student can appear.
- h. All PhD students at DYPIU are considered PhD candidates until they pass their coursework examination. Once qualified, the student will be declared and registered as a PhD scholar of DYPIU.

15. Selection of Research Supervisor

- a) DC will organise a research orientation seminar (ROS) for incoming students at the beginning of each semester. All the faculty who are eligible for PhD supervision must give a seminar on their research area/domain.
- b) Each student must submit their supervisor preference (maximum three) to the Doctoral Committee by the end of their 6th month of their registration as a PhD scholar.
- c) Supervisor can be decided based on:
 - i. **Direct process:** A student can directly choose his/her supervisor if the faculty member and the student have reached an agreement on supervision in advance.
 - ii. **Grant-based process:** If a student comes with his/her own grant or on the supervisor's grant, he/she can directly register under the supervisor.
- d) Once the research supervisor has been finalized, then DC must communicate it to the PhD student officially.
- e) The supervisor then must form the Thesis Advisory Committee (TAC) for the student. This committee should include an interdisciplinary team of 2 researchers with

different research domains. This committee must be approved by the DC within 1 month of the registration of the student. The Co-supervisor, if any, cannot be a member of the TAC.

- f) The tentative title of the thesis must also be indicated along with the TAC member list. The thesis title may be changed later before thesis submission based on suggestions from TAC and the supervisor.
- g) If the supervisor has more than one student, then the supervisor must ensure that at least one of the TAC members is unique for each student. DC will hold the supervisor responsible for any such discrepancy.

16. Research Proposal

- a) After passing the coursework examination, a PhD scholar has to submit the research proposal and conduct an open seminar evaluated by an examination committee. This open seminar must be held at the beginning of the subsequent semester. The examination committee members can be suggested by the supervisor/DC, and must be approved by the Doctoral committee one month before the proposal submission. The examination committee must include 3 members:

One member must be a TAC member, and the other two must be from the faculty of DYPIU. An external evaluator (outside DYPIU) may be included in the committee at the supervisor's discretion.

- b) The research proposal should include:
 - i. Introduction
 - ii. Extensive literature review
 - iii. Research gaps
 - iv. Why this research?
 - v. Problem statement
 - vi. Objectives of the research
 - vii. Scope and limitations if any
 - viii. Methodology tools and techniques planned
 - ix. Timeline (GANTT CHART)
 - x. Bibliography
- c) The candidate has to submit 1 copy of the research proposal to each of the members of the examination committee and the Doctoral Committee. The examination committee will evaluate the proposal and will give recommendations such as;
 - i. Recommended
 - ii. Recommended with modification
 - iii. To be recommended after major corrections
 - iv. Not approved

The recommendation will be based on the proposal and the open seminar.

In case of a not-approved recommendation from the examination committee, the student must resubmit the proposal within the time suggested by the examination committee.

- i. If the proposal is not approved twice, the student's candidature will be cancelled.
- j. The University shall maintain the list of all the Ph.D. registered students on its website on a year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

17. Procedure for Monitoring the Progress of PhD Scholars

- a) A PhD Scholar shall submit a research progress report within two weeks before the end of each six-month period from date of registration in the prescribed format to the supervisor and TAC. One copy must be submitted to the DC for reference.
- b) The report should clearly indicate the progress achieved and cover the following points.
 - i. Thesis proposal status
 - ii. Course work completion status
 - iii. Research Progress made during the period of the report
 - iv. Publications/Monogram/Copyrights/ Patents/Workshop/Conferences report if any
 - v. Problems/difficulty faced if any
 - vi. Plan of action for future work
- c) TAC shall meet at least once in six months. All the presentations to the TAC will be open doors. TAC may continue a closed-door discussion about the progress of the student and future directions of the research.
- d) TAC would review the progress and suggest further research if required. A report from the TAC must be submitted to DC within 10 days of the meeting. A minimum of 2 such reports must be submitted per year (before June and November of each year) in order to continue the scholar's candidature.
- e) If the progress of the PhD Scholar does not meet the action plan as per proposed time, the TAC shall record the reasons for the delay, warn the PhD scholar and suggest corrective measures. If the TAC finds that the progress is not satisfactory even after two such warnings, they must inform the DC, who will decide on the candidature of the research scholar. In such a case, the candidate can appeal through the research supervisor for reconsideration, however, the decision of the DC will be final.

18. Pre-submission synopsis

- a) A PhD candidate, who has to publish two research articles in peer-reviewed journals indexed in national/international citation databases, is eligible to submit the Pre-submission Synopsis. In the case of a patent application/approval, the publication requirement may be waived. Each of these publications/patent applications must be reported to the Dean, Research and Development, before submission.
- b) All full-time PhD Scholars should submit the pre-submission synopsis of their thesis within four years from the date of their PhD registration. The DC may extend the period of submission of the thesis by not more than one year for valid reasons on the basis of one semester at a time.

- c) All part-time PhD Scholars should submit their pre-submission synopsis of their thesis within five years from the date of their PhD registration. The DC may extend the thesis submission period by up to one year for valid reasons, in one-semester increments.
- d) The PhD registration of a Scholar who has not submitted the pre-submission synopsis of their thesis by the end of the extended period as provided in the Regulations will be cancelled by the DC.
- e) Revocation of cancellation may be considered only when a draft copy of the pre-submission synopsis of the thesis is submitted to the DC. Revocation would require approvals from supervisor, DC and the Vice-Chancellor.
- f) Once the candidate is ready for thesis submission, with approval from the supervisor, the candidate must submit a 5-page synopsis of their research work to the TAC. They will review the synopsis and invite for an open seminar within 15 days of the submission. This open seminar must be organised by the DC.
- g) During the seminar, the TAC will review the amount and quality of work done by the scholar and will submit their report to the DC within 10 days of the open seminar. If the TAC finds the work suitable for thesis submission, the scholar must submit their thesis within 6 months of approval. However, if the TAC finds his/her work unsatisfactory, the scholar may be asked to conduct further research and present the work again within the specified time suggested by the TAC.

19. Financial Support for Registered PhD Scholars for Presenting the Research Paper in National/International Conferences

- a) The University will provide a grant of Rs. 50,000/- as a one-time grant for the expenses towards research-associated activities, like APC for article processing, attending conferences, etc.
 - i. The scholar/student can claim travel support until the date of the Final Viva-Voce Exam. The student should be a regular registered student (one who has cleared the Coursework exam) to avail of financial support.
 - ii. The registered student/scholar seeking financial assistance needs to be a first author and the work should have been carried out at DYPIU. The student and his supervisor should be listed as authors on the paper.
 - iii. The application for financial support is to be submitted to Dean R&D at least 15 days in advance of the travel date. The application form should come with specific recommendations from the Thesis Supervisor.
- b) A registered PhD student can avail the financial support for attending any number of conferences with a gap of six months minimum, during their PhD program, subject to a maximum of Rs. 50,000/-.
- c) The reimbursement will include the Registration Fee and AC3T Train fare by the shortest route.
- d) The student has to declare all their support received from other sources while making a claim by producing the original receipts, including relevant supporting documents.
- e) Former students who have done their work at DYPIU are not eligible for this grant if they are employed elsewhere. Students who are enrolled for part time PhD while working elsewhere are not eligible for this grant.
- f) Financial support is not an entitlement but will be decided on a case-by-case basis depending on the quality of the conference and its ranking; connect with publishing houses, and peer review.
- g) Students who claim financial support must submit a copy of the attendance certificate and a short report on their learning and interactions at the conference to Dean R&D.

20. Thesis submission

- a) The thesis submitted for a PhD degree should show a significant contribution to the advancement of knowledge in the candidate's chosen field of study. It will be evaluated primarily on the quality and quantity of its contribution to new knowledge, interpreted in the widest sense, to include instrumentation, design, development and applied work of an innovative-adoptive nature.
- b) The candidate shall submit the thesis within six months from the date of the pre-submission seminar in which the thesis was approved for submission. In any other

circumstances, another three-month extension can be approved by the DC in consultation with the Vice-Chancellor and the supervisor.

- c) The candidate must submit the Thesis evaluation fees while submitting the thesis for evaluation. The fee amount will be fixed for all as per the University norms.
- d) Thesis must be written in English in the prescribed format.
- e) The research candidate has to check the plagiarism using a GC-approved software. The similarity percentage should not exceed 10%. The plagiarism report must be submitted along with the thesis.
- f) Every student must comply with the guidelines for the ethical code of conduct in research. If a student is conducting clinical research, he/she must obtain ethical clearance from the relevant regulatory authorities.

21. Thesis evaluation

- a) The pre-submission evaluation process would facilitate finalisation of a panel of examiners for evaluation of the thesis, in advance. The TAC in consultation with the supervisor will recommend a panel of at least eight international experts in the subject area for evaluation of the thesis.
- b) Criterion for examiners suggested-
 - i. The examiners suggested, should not have worked at DYPIU in the last 5 years.
 - ii. The examiners should be from the domain of work submitted with at least 2 publications in SCI journals.
 - iii. The examiners should not have any collaborations with the supervisors and/or students during the last 5 years.
 - iv. A Ph.D. thesis shall be evaluated by three external examiners. At least one of the external experts will be from outside the country.
 - v. The external examiners should be a Professor / Associate Professor from an institute /University of repute.
 - vi. The scientist F/ Joint Director or higher education of Institute or from industry having a PhD degree can also be an external examiner.
 - vii. If the above criterion is not met then DC will appoint appropriate evaluators from the same domain of research.
- c) The DC will review and submit the list of suggested experts for final approval from the Vice-Chancellor. DC will also ensure the evaluation process of the thesis. The Vice-Chancellor will finalize three relevant examiners, who will be contacted and registered by the DC for the thesis evaluation. DC would invite the examiners and enclose a copy of the synopsis therewith.
- d) On receiving their consent, the DC would refer the soft copy of the thesis to the three examiners for evaluation upon its submission. Thesis must be evaluated within three months of thesis acceptance by the examiners. DC must follow up with the examiners on regular intervals during this time.

22. Thesis Examination Report

- a) Each examiner is expected to send a detailed report of his/her evaluation of the thesis within 60 Days of the receipt of the thesis. The report should highlight the contributions of the thesis, its strengths and weaknesses, modifications/corrections / clarifications if any needed and should include a recommendation regarding the acceptability of the thesis for the award of the PhD degree.
- b) In case of undue delay (maximum one month) in receiving the evaluation report from any examiner, the DC shall appoint another examiner in his/her place for evaluating the thesis.
- c) If two out of the three thesis examiners commend the thesis, with either minor or major modifications, the candidate may proceed to the final Dissertation Presentation after incorporating the suggested changes, if any. It shall be the responsibility of the PhD scholar, along with the Supervisor and Co-supervisor, to ensure that all recommended modifications are incorporated into the thesis prior to the final Dissertation Presentation.
- d) If all three examiners, or any two of them, evaluate the thesis as 'Not Commended', the candidate will be required to conduct further research per the examiners' recommendations and resubmit the thesis within six months for re-evaluation.
- e) If the resubmitted thesis is again not commended by any two of the thesis examiners, the candidature will be cancelled.

23. Dissertation Presentation

- a) Based on the recommendations of the thesis examiners, DC will consider the reports and recommend conducting a dissertation presentation within 2 months of the receipt of the examiner reviews. DC must ensure that at least one of the thesis examiners is present during the candidate's dissertation presentation.
- b) Following is the composition of the Dissertation Presentation Evaluation Committee:
 - a. One examiner of the thesis – Member
 - b. Two examiners appointed by the DC after consultation with the supervisor
- c) The date of viva-voce shall be communicated to the candidate at least fifteen days in advance.
- d) TAC members, the scholar concerned, all the members of the Center of Interdisciplinary Studies and Research, the staff and students of the University will be invited to the Dissertation Presentation. DC will give an open invitation to the University for the dissertation presentation at least one week before the scheduled Viva Voce.
- e) At the dissertation presentation, the scholar will first give a seminar on his / her thesis work. The examination panel then examines the scholar on his / her thesis work. The scholar is expected to satisfactorily answer all the questions raised by the thesis examiners; members of the Dissertation Presentation Examination Panel (DPEP) and

the general audience present for the dissertation presentation. In case of difference of opinion among the members of DPEP, separate reports shall be submitted. DC shall collect the report(s) of DPEP in a folder, which will also contain a) A copy of the thesis along with the Supplement, if required, and (b) all the reports of the examiners of the thesis. The recommendations of the DPEP shall be submitted to the Vice-Chancellor for the approval of the award of the degree.

- f) The scholar must answer the thesis examiners' review questions during their dissertation presentation and include the relevant modifications in the revised thesis before final submission. The thesis must also be revised in response to the questions and comments raised during the dissertation presentation.
- g) If the DPEP evaluates the performance of the scholar at the dissertation presentation as Satisfactory, it will send a report highlighting the proceedings of the panel signed by all the members to DC.
- h) The report of the DPEP should include the following:
 - i. A brief summary of the thesis highlighting the contributions of the scholar.
 - ii. Summary of the reports of the thesis examiners, including both the positive and negative points.
 - iii. A note on the dissertation presentation of the scholar.
 - iv. A summary of the suggested modifications / corrections.
 - v. Evaluation of the performance of the scholar by the board as Satisfactory or Not Satisfactory.
 - vi. Recommendation to the DC regarding the acceptance of the thesis and award of PhD degree to the scholar.
- i) Upon approval by the DPEP, the scholar must submit a final corrected soft and hard copy of the thesis to be lodged in the University's central library. The submitted thesis must incorporate all the corrections suggested by the thesis examiners and DPEP.
- j) Online Dissertation Presentation may be conducted upon DC's approval.
- k) If any other matter not explicitly provided herein or of an exceptional nature arises, it may be referred to the Vice Chancellor for his decision.
- l) DC will submit the report of all the DPEP to COE for further processing of the award of a PhD Degree.
- m) CoE will notify all the award of PhD degree (s) in the academic council.

24. Repository

After successful completion of the examination process, the copy of the thesis (Hard and soft) will be kept open to access for all university stakeholders in the National repository and the central library of DYPIU.

25. Disciplinary Regulations

Suppose a Ph.D. candidate is found to be involved in an act of misconduct, misbehaviour, and/or indiscipline. In that case, the University will take disciplinary action in accordance with the Regulations relating to the maintenance of Discipline by students.

26. Award of PhD Degree

- a) Based on the recommendation of the DPEP, the University would award the PhD degree to the scholar after due approvals by the DC.
- b) After successfully completing the degree, University shall issue the provisional degree certificate as per UGC min guidelines.
- c) The degree awarded must indicate the name of the scholar, the thesis title and the date of final submission (final thesis submission to the Centre of Interdisciplinary Studies and Research).

27. Withdrawal of degree

In case of any complaint of plagiarism or any research misconduct, the Vice-Chancellor can form a committee to investigate such cases. The university can withdraw the awarded degree if the committee finds the complaints valid.

28. Miscellaneous

The Act of Plagiarism:

In the case of candidates who have copied a thesis for a Ph.D. degree, his/her thesis shall be forfeited, and his/her research registration shall be terminated in this University, he/she shall be debarred from registering for any other Programme in this University. A maximum of 10% similarity, as adjudged and certified by an authenticated plagiarism software (such as Turnitin, Grammarly, Quetext etc.) shall be permitted, as complying with guidelines from UGC/DYPIU, in this regard.

For the abetment of the above such action, the recognition of his/her Supervisor shall be withdrawn for a period of 2 years, and he/she shall be debarred from guiding the candidates for any research Programme in this University till such period.

29. Power to Modify

Notwithstanding all stated above, the DC has the right to modify any of the above Regulations from time to time in consultation with the Vice-Chancellor.

30. Leave Policy

- a) Any PhD student can avail a maximum of 25 days of leave/year upon approval from the Supervisor and the director of the school they belong to.
- b) A PhD student can take a maximum of a semester-long break from the PhD candidacy in the event of genuine reasons approved by the DC and the Supervisor.
- c) If a student needs a break longer than a semester, special permission from the Vice Chancellor would be required.

Contents

Employer's NOC Letter for joining the PhD program	2
Employer's Sponsorship Letter for joining the PhD Program	3
Employer's NOC Letter for the External Candidates	4
Employer's Sponsorship Certificate and Registration for the Co -Supervision of the PhD program	5
Undertaking of Non-association with any Organization as on date	6
SDRC and Course Work Proposal	7
Proposed Research Area Specific Courses	8
Decision of SDRC and Research Area Specific Courses Approval	9
PhD Registration Seminar Proposal.....	10
PhD Registration Seminar Evaluation Report.....	11
PhD Registration Seminar Attendance Report	13
Confirmation of Ph.D. Registration	14
No Objection Certificate for Change of Supervisor/Addition of Co-Supervisor and Approval	15
Progress Seminar Report.....	16
Progress Seminar Attendance	17
Progress Seminar Evaluation	18
Submission of Synopsis	20
Panel of Referees for Ph.D. Thesis Evaluation.....	21
Certificate of the Research Supervisor	22
Declaration by the Research Candidate	23
Thesis Format	24
Cover page for Ph. D Thesis.....	25
Format of Referee's Thesis Evaluation Report.....	26
Consolidated Report of the Examiners on the Ph.D. Defense Examination	27
Viva Voce and Defense Examination Attendance Sheet	28
Applying for Extension.....	29
Application Form for Recognition as Ph. D. Supervisor/Co-Supervisor (for external applicants).....	32
Application Form for Recognition as Ph. D. Supervisor/Co-Supervisor (for DYPIU applicants)	35
Application for Empanelment / Registration of External PhD Co-Supervisor	37

Employer's NOC Letter for joining the PhD program

(This should be typed on a letterhead of the sponsoring organization)

No./DYPIU/.....

Date: __/__/____

To

The Vice-Chancellor,

D Y Patil International University, Pune – 411044.

Sub: Relieving an employee on Study Leave

Dear Sir/Madam,

We hereby relieve Mr./Mrs./Ms. an employee of this institute on full/half/ no pay leave for joining Ph.D. Programme at D Y Patil International University, Pune for a period of years (at least three Years).

Signature of Head of the Institute and seal of the Institute

Employer's Sponsorship Letter for joining the PhD Program

(This should be typed on letterhead of the sponsoring organization)

No./DYPIU/.....

Date: __/__/____

To

The Vice Chancellor,

D Y Patil International University, Pune – 411044

Sub: Sponsoring of an Employee for Ph.D. Programme

Dear Sir/Madam,

We hereby sponsor the candidature of Mr./Mrs./Ms., who is an employee of for joining the Ph.D. Programme in at the School of your University as a regular candidate.

We shall bear the total expenses of his/her studies. We shall fully relieve him/her of his/her duties in the organization during the entire period of the Ph.D. Programme to enable him/her to devote full-time to the studies.

Signature and seal of the Sponsoring Authority

(Letterhead of Institute/organization)

Employer's NOC Letter for the External Candidates

Date: __/__/____

..... (Name of Candidate) is working with
..... (Name of Institute) as
(Designation). If (Name of Candidate) is
admitted to (Name of Department/School) at D Y Patil International
University, Pune – 411044, Maharashtra, India, and as a part of the Ph.D. Programme, he/she will be
relieved to complete the Ph.D. course work, if required.
..... (Name of the Institute) has no objection to
..... (Name of Candidate) joining the Ph.D. Programme at
D Y Patil International University, Pune – 411044, Maharashtra, India.

Date:
Time:
Authority:

Name and Signature
Principal/Director/ Concerned Industry

Employer's Sponsorship Certificate and Registration for the Co - Supervision of the PhD program

(This should be typed on a letter head of the Sponsoring Organization)

Date: __/__/__

1. Name of the Sponsoring Organization:
 2. Address:
 3. Present Designation of the Applicant:
.....
 4. Present Status of the Applicant: (Permanent/Quasi-Permanent/Temporary)
 5. Division where the research work is proposed to be carried out:
.....
 6. Name of the Co-Supervisor from the Sponsoring Organization:
.....
- (Biodata of the Co-Supervisor, including details of designation, qualifications, research experience, etc., should be enclosed.)
6. Details of the facilities relevant to the research problem that will be made available to the candidate by the organization.
 7. Statement of the External Co-Supervisor

If Mr./Mrs./Ms. is registered for the Ph.D. degree, I agree to act as his/her Research Co-Supervisor jointly with the Research Supervisor from the University.

Signature of the External Supervisor

Undertaking of Non-association with any Organization as on date

Mr./Ms. (Name of Candidate) has work experience of years in the domain of

I, (Name of Candidate), am submitting this application for admission to the Ph.D. Programme in (Name of Department/School) at D Y Patil International University, Pune – 411044, Maharashtra, India.

In view of this application, I, (Name of Candidate), hereby undertake the following:

1. I am not associated with any organization in India or abroad as an actual or virtual full-time employee.
2. I am aware that either my admission to the Ph.D. Programme will be cancelled or my Ph.D. degree will be invalidated if my association, as mentioned above, during the Ph.D. Programme is discovered by any means, at anytime and anywhere.

Date:

Place:

Name of the Candidate and Signature

SDRC and Course Work Proposal

(This should be typed on a letter head of the Department)

No/DYPIU /Name of School/Name of Dept/Ph.D./

Date: __/__/____

Research Candidate Details

PLACE OF RESEARCH	_____Department _____School
DEPARTMENT	
1	Name of the Candidate
2	PRN No and Date Admission
3	Research Area

Panel Proposed for Thesis Advisory Committee:

Evaluation Committee member	Name, Dept, Organization	Signature
Supervisor		
Co-Supervisor (Optional)		
Domain Expert 1		
Domain Expert 2		

Sr. No.	Name of members present for DRC Meeting	Sign with Date
1	Dean	
2	Dept. Ph.D. Coordinator/SDRC	
3	Member 1	
4	Member 2	

Proposed Research Area Specific Courses

PLACE OF RESEARCH		_____ Department _____ School
DEPARTMENT		
1	Name of the Candidate	
2	PRN No and Provisional Date of Admission	
3	Proposed Research Area	

Name of the course	MOOC* or Dept offered course	Number of weeks / credits	Semester- Odd/Even	Name of the course
Research Specific Course1				
Research Specific Course2				
Research Specific Course3				

*To ensure availability of Ph.D. Candidates on campus during the period of course work, maximum one MOOC will be permitted to Candidates. In case more than one MOOC is to be offered, permission of Dean of the School is a must.

Sr. No.	Name of members present for SDRC Meeting	Sign with Date
1	Supervisor	
2	Co-Supervisor (Optional)	
3	Domain Expert 1	
4	Domain Expert 2	

SDRC Remarks:

Approved/ Not Approved

Name and Signature of SDRC Members:

Decision of SDRC and Research Area Specific Courses Approval

No. DYPIU/Name of School/Ph.D./

Date: __/__/____

To,

(Name of the Research Supervisor)

(Department)

The first meeting of the SDRC was convened on (Date), and the relevant documents submitted for the below-mentioned Ph.D. candidate under your supervision were presented before the SDRC for the approval of the candidate's SDRC and Research Area-Specific Courses.

Name of the Ph.D. Candidate:

PRN Number:

On verifying the relevant documents, the SDRC has conveyed the following decision:

Approval status of the candidate's SDRC and Research Area Specific Courses	Approved / Not approved
Remarks given by SDRC	

You are required to comply with the decisions of the DC and communicate them to the Candidates Section of the School through the candidate's DC and DRC at the earliest.

Director of the School
D Y Patil International University, Pune.

PhD Registration Seminar Proposal

Along with the application form, the researcher must prepare a Statement of Purpose which should include the following:

- a. Introduction of the proposed research area, subareas, current trends and correlation with Sustainable Development Goals (SDGs)
- b. Major topics learnt in the course work and value addition
- c. An exhaustive literature survey of work done in the proposed research area, research gaps and the need for additional research
- d. Methodologies and techniques to be used
- e. The expected outcomes, its possible relevance and value
- f. Timeline of the research work
- g. References

The expected length of this compliance proposal is fifteen to twenty A-4 size pages preferably prepared in LaTeX.

Font size should be 11pt, font computer modern, double line spacing.

The names of the researcher and the Supervisor, Department etc should be printed on the cover page. This compliance report should be duly signed by the candidate and the Supervisor.

PhD Registration Seminar Evaluation Report

(This should be typed on a letter head of the Department)

No/DYPIU/Name of School/Name of Dept/Ph.D./

Date: __/__/__

PhD REGISTRATION SEMINAR EVALUATION REPORT

PLACE OF RESEARCH	_____Department_____School	
1	Name of the Candidate	
2	PRN No	
3	Date of Provisional Admission	
4	Research Area	
5	Focused Research Area	
6	Date of PhD registration seminar	

EVALUATION REPORT

Evaluation Committee/ Expert's comments:	
Evaluation Status	The research area is approved/ not approved. The candidate is advised to go ahead with the research work aiming to meet the expected outcomes.

Evaluation Committee member	Name, Dept, Organization	Signature
School Director		
H.O.D.		
Faculty members		

Director/Head of Department

Dept. Ph.D. Coordinator/SDRC

EVALUATION REPORT:

Comments:

Approved/ Not Approved

Name and Signature of Attendees

1.

2.

3.

4.

5.

6.

7.

8.

6.

10.

11.

12.

(This should be typed on a letter head of the Department)

PhD Registration Seminar Attendance Report

No/DYPIU/Name of School/Name of Dept/Ph.D./

Date: __/__/____

PHD REGISTRATION SEMINAR ATTENDANCE REPORT

PLACE OF RESEARCH		_____Department_____School
1	Name of the Candidate	
2	PRN No	
3	Date of Provisional Admission	
4	Research Area	
5	Focused Research Area	
6	Date of PhD Registration Seminar	

Evaluation Committee member	Name, Dept, Organization	Signature
School Director		
H.O.D.		
Faculty members		

Director/Head of Department

Dept. Ph.D. Coordinator/SDRC

Confirmation of Ph.D. Registration

No./DYPIU/Name of School/Ph.D./

Date: __/__/____

Name of the Ph.D. Student:

PRN Number:

Research Centre:

With reference to the relevant documents submitted by the Ph.D. student:

On verifying the relevant documents, the DC has evaluated your Ph.D. Registration Seminar and report as follows:

Faculty: Engineering/Science/Planning Research

Research Supervisor:

Research Co-Supervisor:

Research Area:

Focused Research Area:

Ph.D. Registration Seminar: Completed / Not Completed

Date of Ph.D. Registration Confirmation:

Period of Registration:

Upon the successful completion of the Ph.D. Registration Seminar, the candidate is eligible to submit the thesis after the stipulated time period stated in the University's Ph.D. Rules and Regulations for the award of the Degree of Doctor of Philosophy (Ph.D.) from the Research Centre at School of D Y Patil International University, Pune.

Director of the School, DYPIU

Dean R&D, DYPIU

No Objection Certificate for Change of Supervisor/Addition of Co-Supervisor and Approval

(This should be typed on a letterhead of the Department)

No/DYPIU/Name of School/Name of Dept/Ph.D./

Date: __/__/____

PLACE OF RESEARCH	Department School
DEPARTMENT	
1 Name of the Ph.D. Candidate	
2 PRN No and Date of Ph.D Pre-Qualifier Examination	
3 Research Area/ Focused Research Area	

Name of Present Supervisor:

Reason for Change of Supervisor/ Addition of Co-Supervisor: _____

Name of Proposed Supervisor:

Date: _____ (Name and Signature of Ph.D. Candidate)

I have no objection to the change of Supervisor/ Addition of Co-Supervisor of the above candidate.

Date: _____ (Name and Signature of present Supervisor)

The area of the above Ph.D. candidate matches with my expertise, and I am willing to guide the Ph.D. Candidate.

Date: _____ (Name and Signature of proposed Supervisor)

Recommended / Not-recommended.

Date: _____ (Chairman DC)

Remarks: Approved/ Not Approved

Date

Progress Seminar Report

A researcher shall submit the Progress Report in the following format every six months:

- a) Introduction
- b) Work Done
- c) Methodologies and Techniques to be Used
- d) Findings
- e) Work Proposed
- f) Bibliography

The expected length of this Progress Report is fifteen to twenty A4-size pages, preferably prepared in LaTeX.

The document shall be prepared using Computer Modern font, font size 11 pt, with double line spacing.

The names of the researcher, the Research Supervisor, the Department, and the school should be printed on the cover page. This report shall be duly signed by both the candidate and the Research Supervisor.

Progress Seminar Attendance

(This should be on the letterhead of the Department)

No/DYPIU/Name of School/Ph.D./

Date: __/__/____

PROGRESS SEMINAR ATTENDANCE REPORT

PLACE OF RESEARCH		_____Dept _____School
DEPARTMENT		
1	Name of the Candidate	
2	PRN No	
3	Ph.D. Topic	
4	Date of Progress Seminar	

Evaluation Committee member	Name, Dept, Organization	Signature
Domain Expert 1		
Domain Expert 2		

Director/ Head of Department

Dept. Ph.D. Coordinator/SDRC

Progress Seminar Evaluation

(This should be on letterhead of the Department)

No/DYPIU/Name of School/Name of Dept/Ph.D./

Date: __/__/____

PROGRESS SEMINAR EVALUATION REPORT

PLACE OF RESEARCH		Dept School	
DEPARTMENT			
1	Name of the Candidate		
2	PRN No		
3	PhD Research Title		
4	Focused Research Area		
5	Date of Ph.D. Pre-Qualifier Examination		
6	Date of Progress Seminar Presentation		
7	Number of Publications	National Conference	
		International Conference	
		Scopus Index Conference	
		National Journal	
		International Journal	
		Scopus Index Journal	
		SCI Journal	

EVALUATION REPORT:

Evaluation Committee/ Expert's comments:

Consolidated Evaluation		Satisfactory/ Not Satisfactory	
Expected period of Completion of Program			
Evaluation Committee Members	Name, Dept, Organization	Signature	
Domain Expert 1			
Domain Expert 2			

Dean R&D

Dept. Ph.D. Coordinator/SDRC

Submission of Synopsis

After the Pre-Synopsis Seminar, the candidate shall submit seven hard copies and one soft copy of the synopsis of his/her thesis through the Research Supervisor. A synopsis is a concise version of the thesis and should provide a comprehensive overview of the research.

The synopsis shall contain the following:

1. Introduction, summary of the literature survey, research gaps, and motivation for the research.
2. Topic title, problem definition, objectives, and the nature and scope of the work carried out.
3. Conclusions, contributions, and concrete outcomes achieved.
4. Details of publications/patents.
5. References.

The expected length of the synopsis shall be fifteen to twenty double-spaced A4-size pages. The synopsis shall be uploaded to the University's website.

Panel of Referees for Ph.D. Thesis Evaluation

(This should be submitted on the letterhead of the Department)

No./DYPIU/Name of School/Name of Department/Ph.D./

Date: __/__/____

To,

The Vice-Chancellor,

D Y Patil International University, Pune – 411044.

Subject: List of Referees

Dear Sir,

A candidate named PRN No. has worked under my supervision. The thesis titled is being submitted along with the list of referees given below:

Sr. No.	Name, Organization	Address	Email and Phone number
1			
2			
3			
4			
5			
6			
7			
8			

Name and signature of Supervisor

Certificate of the Research Supervisor

CERTIFIED that the work incorporated in the thesis titled(Title)
submitted by Mr./Mrs./Ms. (PRN:) was carried out by the
candidate under my/our supervision/guidance. Such material as has been obtained from other
sources has been duly acknowledged in the thesis.

(Research Supervisor)

(Co-Supervisor)

Declaration by the Research Candidate

Date: __/__/____

I declare that the thesis entitled.....(Title) submitted by me for the Degree of Doctor of Philosophy (Ph.D.) is the record of the work carried out by me during the period from to under the guidance of and has not formed the basis for the award of any degree, diploma, associateship, fellowship, or title in this or any other University or institution of higher learning.

I further declare that the material obtained from other sources has been duly acknowledged in the thesis.

Signature of the Candidate

Research Centre:

School:

Thesis Format

The final Ph.D. thesis shall be presented in accordance with the following specifications:

1. The paper used for printing shall be of A4 size.
2. Printing shall be in a standardized format on both sides of the paper with 1.5 line spacing.
3. A margin of 1.5 inches shall be provided on the left-hand side.
4. The cover board shall not exceed 330 GSM.
5. The title of the thesis, the name of the candidate, the degree, the name of the Research Supervisor, the Place of Research, and the month and year of submission shall be printed on the title page and the front cover.
6. The hard-bound cover of the thesis shall be black in color. The spine of the binding (side cover) shall bear the words 'Ph.D. Thesis' at the top, followed by the name of the candidate and the month and year of submission.

Cover page for Ph. D Thesis

PH. D. THESIS WRITE HERE NAME OF THE CANDIDATE MONTH AND YEAR	“Write here title of the thesis in all upper-case (Capital letters) with a ‘center’ alignment. Place this on the upper central part of the cover with sufficient margin from top and both sides. Use font size suitable to length of the title” A Thesis Submitted to D Y PATIL INTERNATIONAL UNIVERSITY For Award of Degree of DOCTOR OF PHILOSOPHY (Ph.D.) In the School of Submitted By Under The Guidance Of Research Centre Write Here Month and Year of Submission
--	--

Format of Referee's Thesis Evaluation Report

To

The Vice-Chancellor,

D Y Patil International University, Pune – 411044.

Subject: Review of Thesis

Dear Sir,

The review process of the thesis entitled has been completed. I recommend/do not recommend the thesis for the award of the Ph.D. degree, with the following comments. The review questions to be asked at the time of the viva-voce examination are enclosed on a separate page.

A	Accept the thesis as it is
B	Accept the thesis with the minor correction as mentioned in the review comments
C	Accept the thesis with the major correction as mentioned in the review comments
D	Reject the thesis

Sign of Referee

Name

Designation

Name of Institute/University

Consolidated Report of the Examiners on the Ph.D. Defense Examination

The viva-voce of Mr./Mrs./Ms. was conducted on (Day and Date). The performance of the candidate was satisfactory/unsatisfactory.

We have conducted the open defence of the Ph.D. thesis titled at D Y Patil International University, Pune, on The performance of the candidate was satisfactory/unsatisfactory. We recommend that he/she be awarded the Ph.D. Degree / should not be awarded the Ph.D. Degree. The viva-voce examination should be arranged again on

External Evaluator

Supervisor

TAC Member 1

TAC Member 2

Doctoral Committee Member

Controller or Examination

Dean R&D

Vice Chancellor, DYPIU

Viva Voce and Defense Examination Attendance Sheet

Ph.D. Viva-Voce of Mr./Mrs./Ms.

Topic:

Date of Viva:

Time:

Venue:

List of the persons attending the Open Defense.

S. No.	Name of the person	Signature

Applying for Extension

No./DYPIU/.....

Date: __/__/____

To

The Vice-Chancellor,

D Y Patil International University, Pune – 411044.

Subject: Request for Extension of the Registration Period (Through Proper Channel)

Dear Sir,

I have registered for the Ph.D. Programme on dd/mm/yyyy in the Department of under the supervision of

My research area is (Thesis title).

I have submitted progress reports so far. For the completion of my research work, I require an extension of one year. You are requested to kindly grant an extension of my registration period.

Signature of the Candidate:

Signature of the Supervisor

Signature of the Co-Supervisor

Signatures of the SDRC Members with Comments

Signature of DC Members with Comments

Recommended by
Dean R&D, DYPIU

Approved/Not Approved
Vice Chancellor, DYPIU

Format for applying to Teaching Assistantship

1. Name of the Research Scholar: Ms./ Mr./Mrs.
2. Permanent address:
3. Local address:
4. Contact Number:
5. Email ID:
6. PRN Number:
7. Date of Admission:
8. School/Department:
9. Course Work Details:
 - (a) Course work completed: Yes/ No
 - (b) If Yes, Date of completion of Course work:
10. Research Area:
 - (a) Research area approved: Yes/No
 - (b) If yes, date on which research area approved:
 - (c) Focused Research Area:
 - (d) Name of the Research Supervisor:
 - (e) Designation of the Research Supervisor:
 - (f) Mobile Number:

- (g) Email id:
11. Teaching load:
 12. Attendance:
 13. Summary of Research work:
 14. Number of Papers Published in indexed / peer reviewed Journals after the date of admission under the Doctoral Fellowship scheme with necessary proof:
 - (a) Scopus indexed Conference
 - (b) SCOPUS indexed journal
 - (c) SCI indexed Journal
 16. Patent:
 17. Product Development:
 18. Other:

All the particulars given above are true to the best of my knowledge. I shall be responsible for any errors and wrong or incomplete entries by me in the application form for the post of Research/Teaching Assistant

Candidate Name and Signature:

Name and Signature
Director/Head of the Department

Dean R&D

Application Form for Recognition as Ph. D. Supervisor/Co-Supervisor (for external applicants)

(This should be on letterhead of the Department)

Outward No.: _____ Date: __/__/__

Name in Full Surname First Name Middle Name

Prof/Dr.: _____

PRN No.: _____

Designation: _____

Department: _____

Institute Email ID: _____

Mobile Number: _____

Date of Birth: _____ Date of joining DYPIU: _____

Academic qualifications:

Sr.No.	Degree	Year of Passing	University	Major Discipline
1	UG			
2	PG			
3	PhD			

Title of Ph.D. Thesis: _____

Areas of Research Interests: _____

Experience and positions held:

Sr.No.	Name and Address of the Institution	Positions held	Years From - To	Experience in UG and PG teaching

Funded Research Projects Received and executed:

Project Title	Date of sanctioning	Duration of Project	Funding Agency	Amount of Funding	Status of the Project (Ongoing/Completed)

Details of earlier experience as a recognized Ph. D. Supervisor:

Sr.No.	Name of the University	Recognition Letter Details	Number of Candidates Completed Ph.D.	Number of Ph.D. students ongoing

Details of Publications:

	Scopus Indexed	Web of Science Indexed	Others
a) Number of papers in Refereed Journals:			
(i) National Journals:			
(ii) International Journals:			
b) Number of papers published in refereed Conference Proceedings			
(i) National Conferences:			
(ii) International Conferences:			
c) Number of Books Published:			
d) Number of Book Chapters:			
e) Number of Patents applied, but not obtained:			
f) Number of Patents obtained:			

Enclose a list of publications/patents/ in the following format separately as an annexure.

Sr. No	Title	Authors	Publication Details	Year of Publishing

Any Other Details:

DECLARATION

I hereby declare that all the statements made in this application are True, Complete and correct to the best of my knowledge and belief. I understand that in the event of any information being found untrue or incorrect at any stage or I am not satisfying any of the eligibility criteria stipulated shall be tantamount to cancellation of my supervisorship for Ph.D. at whatsoever stage it may be.

Date:

Name and Signature of the Applicant

List of attachments with self-attestation:

1. Copy of the appointment order issued by DYPIU Tech.
2. Copy of previous experience certificates, if any.
3. Copy of degree certificates from UG to the highest degree.
4. Copy of Ph.D. supervisor recognition letters issued by other Universities. if any.
5. Publications and patents details along with analytics/reports of portals categorising SCI/Scopus publications.
6. Publications reprints of research publications in SCI or refereed journals of high repute (Professor at least five and Associate/Assistant Professor - at least two)

Date:

Dean R&D

Approved by
Vice Chancellor, DYPIU

Application Form for Recognition as Ph. D. Supervisor/Co-Supervisor (for DYPIU applicants)

(This should be on letterhead of the Department)

Outward No.: _____ Date: __/__/__

Name in Full Surname First Name Middle Name

Prof/Dr.: _____

PRN No.: _____

Designation: _____

Department: _____

Institute Email ID: _____

Mobile Number: _____

Date of Birth: _____ Date of joining DYPIU: _____

DECLARATION

I hereby declare that all the statements made in this application are True, Complete and correct to the best of my knowledge and belief. I understand that in the event of any information being found untrue or incorrect at any stage or I am not satisfying any of the eligibility criteria stipulated shall be tantamount to cancellation of my supervisorship for Ph.D. at whatsoever stage it may be.

Date:

Name and Signature of the Applicant

Attach detailed CV with following information:

1. Academic qualifications
2. Title of Ph.D. Thesis

3. Areas of Research Interests
4. Experience and positions held
5. Funded Research Projects Received and executed
6. Details of earlier experience as a recognized Ph. D. Supervisor
7. Details of Publications
8. Any Other Details

List of attachments with self-attestation:

1. Copy of previous experience certificates, if any.
2. Copy of degree certificates from UG to the highest degree.
3. Copy of Ph.D. supervisor recognition letters issued by other Universities. if any.
4. Publications and patents details along with analytics/reports of portals categorising SCI/Scopus publications.
5. Publications reprints of research publications in SCI or refereed journals of high repute (Professor at least five and Associate/Assistant Professor - at least two)

Date:

Dean R&D

Approved by
Vice Chancellor, DYPIU

Application for Empanelment / Registration of External PhD Co-Supervisor

This form is to be filled by faculty members / industry experts from outside the university who wish to be registered/empanelled as eligible external PhD Co-Supervisors.

1. Personal Details

Full Name (with Title):	
Date of Birth (DD/MM/YYYY):	
Gender:	
Nationality:	

2. Current Affiliation & Contact Details

Current Designation:	
Name of the University / Institution / Organization:	
Official Address with PIN Code:	
Mobile Number:	
Email Address (Official & Personal):	

3. Academic Qualifications

Degree	Discipline / Specialization	University / Institution	Year of Passing	Percentage / CGPA
UG				
PG				
PhD				

4. Research Profile & Experience

Total Teaching Experience (in years):	
Total Research/Industry Experience (in years):	
Area(s) of Specialization:	
Total Number of Publications (Scopus / Web of Science):	

Number of PhD Scholars Currently Guiding (if any):	
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5. Declaration by Applicant

I hereby declare that the information provided above is true to the best of my knowledge. If empanelled / registered as an external Co-Supervisor, I agree to abide by the PhD regulations, academic rules, and code of conduct of the University.

Place: _____

Date: _____

Signature of the Applicant: _____

6. For Office Use Only (Verification & Approval)

Verified By (Department PhD Coordinator/SDRC)	Recommended By (Head of Department)	Approved By (Dean / Research Head)
Signature & Date:	Signature & Date:	Signature & Date:

Checklist of Required Documents

Please attach self-attested copies of the following documents along with the application form:

- [] 1. Detailed Curriculum Vitae (CV) with a complete list of publications.
- [] 2. Copy of PhD Degree Certificate.
- [] 3. Copies of Under-Graduate (UG) and Post-Graduate (PG) Degree Certificates.
- [] 4. No Objection Certificate (NOC) from the current employer / organization.
- [] 5. Proof of Identity (e.g., Aadhar Card, Passport, Institute ID).
- [] 6. Top 3-5 published research papers (First page only) to verify specialization.